



Patent  
Docket No: 54575USA34.007

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re Application of:

Kenichi Saitoh, Masanori Tominaga, Shinji Sugit

Group Art Unit:

Serial No.: 09/700,600

Filed: November 15, 2000

Examiner:

For: REFLECTIVE FILM AND DISPLAY DEVICE

RECEIVED  
JUL 11 2003  
GROUP 1700

CERTIFICATE OF MAILING

I hereby certify that this correspondence is being deposited with the United States Postal Service as First Class Mail in an envelope addressed to: Commissioner for Patents, Washington, DC 20231 on:

February 28, 2001

Date

Signature

Pamela K. Gibbs

COMPLETION OF FILING REQUIREMENTS

Box Missing Parts  
Commissioner for Patents  
Washington, DC 20231

RECEIVED  
JUN 13 2001  
TECHNOLOGY CENTER 1100

Dear Sir:

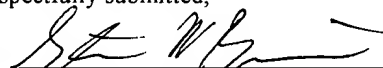
This is in response to the NOTICE TO FILE MISSING PARTS OF NONPROVISIONAL APPLICATION mailed on January 25, 2001. Part 2 of this form is enclosed.

No original declaration or oath was filed and enclosed is the original declaration for this application. The above-identified application is the application, which the inventors executed by signing the enclosed Declaration, Power of Attorney, and Petition.

Please charge the fee of \$130.00 as provided in 37 C.F.R. § 1.16(e) to Deposit Account No. 13-3723. Please also charge any additional fees or credit any overpayment to Deposit Account No. 13-3723. One copy of this sheet marked DUPLICATE is also enclosed.

Registration Number 30,035	Telephone Number 651/733-3379
Date February 28, 2001	

Respectfully submitted,

By   
Stephen W. Buckingham

Office of Intellectual Property Counsel  
3M Innovative Properties Company  
P.O. Box 33427  
St. Paul, Minnesota 55133-3427  
Facsimile: (651) 736-3833



Patent and Trademark Office  
Address: ASSISTANT COMMISSIONER FOR PATENTS  
Box PCT  
Washington, D.C. 20231

OFFICE OF INTELLECTUAL  
PROPERTY COUNSEL  
3M INNOVATIVE PROPERTIES COMPANY

FEB 1 2001

U.S. APPLICATION NO.	FIRST NAMED APPLICANT	ATTY. DOCKET NO.
09/700600	SAITOH	K 54575USA3A.0 07

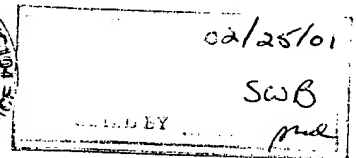
OFFICE OF INTELLECTUAL PROPERTY COUNSEL  
3M INNOVATIVE PROPERTIES COMPANY  
PO BOX 33427  
ST PAUL, MN 55133 3427

INTERNATIONAL APPLICATION NO.	
PCT/US99/10863	
I.A. FILING DATE	PRIORITY DATE
17 MAY 99	18 MAY 98
DATE MAILED: 25 JAN 2001	

**NOTIFICATION OF MISSING REQUIREMENTS UNDER 35 U.S.C. 371 IN THE UNITED STATES DESIGNATED/ELECTED OFFICE (DO/EO/US)**

1. The following items have been submitted by the applicant or the IB to the United States Patent and Trademark Office as

- ☐ a Designated Office (37 CFR 1.494),
- ☒ an Elected Office (37 CFR 1.495):
- ☒ U.S. Basic National Fee.
- ☒ Copy of the international application in:
  - ☐ a non-English language.
  - ☒ English.
- ☐ Translation of the international application into English.
- ☐ Oath or Declaration of inventor(s) for DO/EO/US.
- ☐ Copy of Article 19 amendments.
- ☐ Translation of Article 19 amendments into English.
- ☐ The International Preliminary Examination Report in English and its Annexes, if any.
- ☒ Translation of Annexes to the International Preliminary Examination Report into English.
- ☐ Preliminary amendment(s) filed \_\_\_\_\_ and \_\_\_\_\_.
- ☐ Information Disclosure Statement(s) filed \_\_\_\_\_ and \_\_\_\_\_.
- ☐ Assignment document.
- ☐ Power of Attorney and/or Change of Address.
- ☐ Substitute specification filed \_\_\_\_\_.
- ☐ Verified Statement Claiming Small Entity Status.
- ☒ Priority Document.
- ☒ Copy of the International Search Report ☒ and copies of the references cited therein.
- ☐ Other:



2. The following items **MUST** be furnished within the period set forth below in order to complete the requirements for acceptance under 35 U.S.C. 371:

- ☐ a. Translation of the application into English. Note a processing fee will be required if submitted later than the appropriate 20 or 30 months from the priority date.
  - ☐ The current translation is defective for the reasons indicated on the attached Notice of Defective Translation.
- ☐ b. Processing fee for providing the translation of the application and/or the Annexes later than the appropriate 20 or 30 months from the priority date (37 CFR 1.492(f)).
- ☒ c. Oath or declaration of the inventors, in compliance with 37 CFR 1.497(a) and (b), identifying the application by the International application number and international filing date.
  - ☐ The current oath or declaration does not comply with 37 CFR 1.497(a) and (b) for the reasons indicated on the attached PCT/DO/EO/917.
- ☒ d. Surcharge for providing the oath or declaration later than the appropriate 20 or 30 months from the priority date (37 CFR 1.492(e)).

3. Additional claim fees of \$ \_\_\_\_\_ as a ☐ large entity ☐ small entity, including any required multiple dependent claim fee, are required. Applicant must submit the additional claim fees or cancel the additional claims for which fees are due. See attached PTO-875.

**ALL OF THE ITEMS SET FORTH IN 2(a)-2(d) AND 3 ABOVE MUST BE SUBMITTED WITHIN ONE MONTH FROM THE DATE OF THIS NOTICE OR BY ☐ 21 OR ☐ 31 MONTHS FROM THE PRIORITY DATE FOR THE APPLICATION, WHICHEVER IS LATER. FAILURE TO PROPERLY RESPOND WILL RESULT IN ABANDONMENT.**

The time period set above may be extended by filing a petition and fee for extension of time under the provisions of 37 CFR 1.136(a).

4. Translation of the Annexes **MUST** be submitted no later than the time period set above or the annexes will be cancelled. Note processing fee will be required if submitted later than 30 months from the priority date.

5. ☐ The Article 19 amendments are cancelled since a translation was not provided by the appropriate 20 (37 CFR 494(d)) or 30 (37 CFR 1.495(d)) months from the priority date.

Applicant is reminded that any communication to the United States Patent and Trademark Office must be mailed to the address given in the heading and include the U.S. application no. shown above. (37 CFR 1.5)

Form 10527 - H

Application Of

Application Of: Saitoh et al.

File Number

Docket No.: 54575USA3A.007

Serial No.: 09/700,600

Titled

Title: REFLECTIVE FILM AND DISPLAY DEVICE

☐ Declaration ☐ Assign. ☒ Certif. of Mailing

Amount charged to Deposit Account: \$240.00

Attorney (initials): SWB/pkg

Date: February 28, 2001

Pages Of Spec

Enclosures:

Sheets

Completion of filing Requirements Transmittal

Oath and Declaration

1 Month Extension

Draft Included F

Return Postcard

*Copy of notification of missing Requirements*

Attorney (initials)

10527 - H

Application Of

Application Of: Saitoh et al.

File Number

Docket No.: 54575USA3A.007

Serial No.: 09/700,600

Titled

Title: REFLECTIVE FILM AND DISPLAY DEVICE

☐ Declaration ☐ Assign. ☒ Certif. of Mailing

Amount charged to Deposit Account: \$240.00

Attorney (initials): SWB/pkg

Date: February 28, 2001

Pages Of Spec

Enclosures:

Sheets

Completion of filing Requirements Transmittal

Oath and Declaration

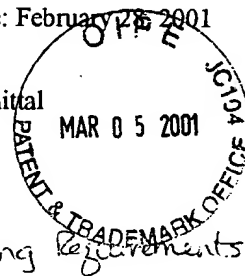
1 Month Extension

Draft Included F

Return Postcard

*Copy of notification of missing Requirements*

Attorney (initials)



JCO9 Rec'd PCT/PTO 05 MAR 2001